Canara Robeco Asset Management Company Ltd.

	AMC Audit Scope	
	Sebi Audit Scope	

	Internal	Internal	Outsourced	External	External
	AMC	Mutual Fund	Fund Accounting - HSBC	R&T - CAMS/Karvy	Custodian - HSBC
Activity	AMC Accounting & HR	Front office, Midoffice, cash flow, Settlement & compliance	Fund accounting, NAV calculation, Income calculation & corporate action	Unit-holder administration and servicing systems for customer service	Security Reconciliation, Receipt of Income, holding & safe-keeping
IT general & Cyber Security Controls	Logical Access	Logical Access	Logical Access		
	Physical & environmental Access Controls	Physical & environmental Access Controls	Physical & environmental Access Controls		
	Change management process & systems documentation	Change management process & systems documentation	Change management process & systems documentation		
	System maintenance	System maintenance	System maintenance		
	Event & application Log maintenance	Event & application Log maintenance	Event & application Log maintenance		
	Third party management	Third party management	Third party management		
	Backup & recovery process	Backup & recovery process	Backup & recovery process		
	Incidence management	Incidence management	Incidence management		
	Exchange of Information	Exchange of Information	Exchange of Information		
	Business continuity management	Business continuity management	Business continuity management		
	Compliance	Compliance	Compliance		
Interface	Yes	Yes	Yes	Yes	Yes
Application to be Reviewed	Adrenalin, Employee trade & SUN accounting software AMC and Scheme Accounting Mutual Fund	Bloomberg, POMS Interface, Excel Macros, & NDS system	IFS - Fund Accounting software	CRM, Unit processing process	Software for Receipt entry & reconciliation
Web portals	Distributor, Catalogue & Investor Online				
Security Review	Operation systems & Oracle Database, Network	Operation systems, Oracle & SQL Database, Network	3rd Party Audit Report	N/A	N/A
Infrastructure	Firewall, Servers, Routers, Wifi & desktops	Firewall, Servers, Routers & desktops	3rd Party Audit Report	N/A	N/A