

**Format for Declaration of Self Certification for the ARMFA who is an individual/karta of HUF**

**On appropriate letterhead (if available)**

Date: \_\_\_\_\_

**AMFI Registration Number (ARN):** \_\_\_\_\_ **From:** \_\_\_\_\_

\_\_\_\_\_  
\_\_\_\_\_  
\_\_\_\_\_

\* Landline:

\* Mobile:

\* Email:

The Registrar and Transfer Agent of Mutual Funds

(Please tick as applicable)

CAMS  Franklin Templeton

Karvy CPL  Deutsche ISPL

Escorts  Sundaram BNP Paribas FSL

Sub: Declaration of Self-Certification (DSC): Period / Financial Year \_\_\_\_\_

"This is to certify that, in the course of my/our business in the distribution of mutual fund products during the period / financial year ended March 31\_\_\_\_\_. I/we have adhered to the Code of Conduct contained in SEBI circular no. SEBI/IMD/CIR No. 8/174648/2009 dated August 27, 2009 and to the requirements as prescribed in SEBI's circular no. MFD/CIR/20/23230/2002 dated November 28, 2002 and the AMFI circular no. CIR/ARN-09/08-09 dated July 18, 2008 has been adhered to."

In compliance with SEBI Circular no. SEBI/ IMD/CIR/No.4/168230/09 dated June 30, 2009, I/ we have disclosed to investors all the commissions (in the form of trail commission or any other mode) payable to me /us for the different competing schemes of various mutual funds from amongst which the scheme was recommended to our investors.

I/ We also certify that I/ we have complied with the Know Your Distributor (KYD) requirements as per AMFI Guidelines.

I/ We further certify that I/ we have adhered to the SEBI and AMFI Guidelines issued from time to time.

Kindly update this DSC on your records and with all the Fund Houses where we are empanelled.

For \_\_\_\_\_ (firm name)

Authorised Signatory (ies)

*\* Information is desirable for all important communication to be disseminated efficiently*