

— Canara Robeco Asset Management Company Ltd.

Construction House, 4th Floor, 5, Walchand Hirachand Marg, Ballard Estate, Mumbai - 400 001.
Tel : 6658 5000-10. Fax : 6658 5011/12/13. www.canararobeco.com

AGENT EMPANELMENT FORM

Key contact details

Name (Mr/Ms/ M/S) (BLOCK LETTERS)			
Address for Communication (BLOCK LETTERS)			PIN
Telephone	(O) <input type="text"/>	(R) <input type="text"/>	(Mobile) <input type="text"/>
Fax	<input type="text"/>		E-mail <input type="text"/>
Status	<input type="checkbox"/> Individual	<input type="checkbox"/> Partnership Firm	<input type="checkbox"/> Pvt Ltd. Co. <input type="checkbox"/> Public Ltd. Co. <input type="checkbox"/> Others: _____ (Please specify)

Additional information

Individual	DD	MM	YY	Corporate			
Date of birth	<input type="text"/>	<input type="text"/>	<input type="text"/>	Contact Person	<input type="text"/>		
Educational qualification	<input type="text"/>			Date of Incorporation	DD	MM	YY
PAN No.	<input type="text"/>			PAN No.	<input type="text"/>		
Name of the nominee	<input type="text"/>						

DETAILS OF BANK ACCOUNT (Brokerage cheques will contain the account details to avoid fraudulent encashment)

Account No.	<input type="text"/>	Type	<input type="checkbox"/> Savings <input type="checkbox"/> Current
Bank	<input type="text"/>	Branch	<input type="text"/>
MICR No.	<input type="text"/>	City	<input type="text"/>
Instructions for despatch of brokerage cheque	<input type="checkbox"/> By Post	<input type="checkbox"/> Hand Delivery at designated Canara Robeco Mutual Fund Branch office	<input type="checkbox"/> Direct credit to my/our BANK Account as given above (As and when the facility is introduced)

AMFI CERTIFICATION DETAILS (Please attach a copy of the AMFI Certificate)

AMFI Registration No. (ARN)	<input type="text"/>	Passed on	<input type="text"/>	Valid upto	<input type="text"/>
-----------------------------	----------------------	-----------	----------------------	------------	----------------------

DECLARATION

I /We hereby declare that the information furnished is true and correct to the best of my/ our knowledge and belief. I /We undertake to abide by the terms and conditions stated overleaf or as amended from time to time. I /We am/are not an employee or a relative of a Director/Employee of the AMC/Sponsors / or any of its associates.

Place	<input type="text"/>
Date	<input type="text"/>

Signature of Applicant

For office use only

Application received on	<input type="text"/>	Branch	<input type="text"/>
Date of Appointment	<input type="text"/>	Agent's Code No.	<input type="text"/>
Authorised by	<input type="text"/>		
Remarks	<input type="text"/>		

TERMS & CONDITIONS

1. The statements made by an agent in the Agent Empanelment Form and the declaration made therein shall be the basis of the contract.
2. An agent shall carry out such directions and instructions as may from time to time, be issued by Canara Robeco Asset Management Company Ltd. (AMC) in this regard.
3. An agent / or its employees who are involved in distribution of Mutual Fund products must have cleared the AMFI Test (Advisors Module) and a Copy of ARN (AMFI Registration Number) Card / Certificate must be submitted by the agent to Canara Robeco Asset Management Company Ltd., for its records and verification.
4. An agent is not authorised to issue any receipt for cheques and demand drafts received along with the application forms on behalf of the AMC. The agent shall at no point of time receive cash on behalf of the AMC.
5. An agent is eligible for brokerage on the amounts mobilized by him at the rate(s) prescribed by AMC. The rate(s) are subject to revision, from time to time, at the discretion of the AMC and the agent shall be bound by such changes. The brokerage payable, shall be inclusive of any tax, service tax, cost, charges and expenses incurred by the agent in connection with the agency.
6. The agent declares and covenants with the AMC to defend, indemnify and hold the AMC and its affiliates, promoters, successors in interest and permitted assigns harmless from and against all claims, damages or assertions of liability of any kind or nature resulting from:
 - a) Any breach of terms, covenants and conditions or other provisions hereof, or offer document(s) or any actions or omissions there under;
 - b) Any failure to comply with all applicable legislation, statutes, ordinances, regulations, administrative rulings or requirements of law;
 - c) The misfeasance, malfeasance or fraudulent acts of the representatives of the agents; and
 - d) Any and all actions, suits, proceedings, assessments, settlement, arbitration judgements, cost and expenses, including attorneys' fees, resulting from any of the matters set forth above.
7. The appointment of an agent shall be liable to be terminated by the AMC forthwith:
 - a) If he/she is found to be a minor or adjudicated as an insolvent or found to be of unsound mind by a court of competent jurisdiction;
 - b) If in course of any judicial proceeding it is found that he/she has knowingly participated in or connived at any fraud, dishonesty or misrepresentation against the AMC or any Unit holder of Canara Robeco Mutual Fund.
 - c) If the AMC is satisfied that any statement made in the Agent Registration Form was false or misleading or calculated to mislead;
 - d) If he / she acts in any other manner prejudicial to the interest of the AMC / Mutual Fund; and
 - e) If he / she does not comply with all applicable legislation, statutes, ordinances, regulations, administrative rulings or requirements of law.
8. In addition to what is stated in the preceding paragraphs the AMC shall have the right to terminate the appointment of agent at any time by giving the agent 10 days notice and assigning any reasons thereof. An agent may also terminate the agency at any time by giving 10 days notice to the AMC.
9. An agent shall not have any claim against the AMC / Mutual Fund for any loss incurred by him/her as not anticipated and arising out of any revision in the rate(s) of commission for business canvassed.
10. An agent must read and understand the Offer Document of the respective scheme(s) carefully and explain the risks, investment objectives and the special features of the schemes to the investors. The agent must not make any representation concerning Units of the Mutual Fund except those contained in the Offer Document of the respective scheme(s), the Key Information Memorandum containing Application Forms and printed information issued by the AMC as information supplemental to such documents.
11. The agent must not use any unethical means to sell, market or induce any investor to buy Units of Canara Robeco Mutual Fund.
12. The agent shall comply with the provisions of the Securities and Exchange Board of India (Mutual Funds) Regulations, 1996 and guidelines issued by SEBI/AMFI From time to time pertaining to mutual funds with specific focus on regulations / guidelines on advertisements / sales literature and code of conduct for distributors and shall adhere to it at all times.
13. In case of application by non-individuals, a certified true copy of the relevant resolution or authority to make the application as the case may be, along with a certified true copy of the Memorandum and articles of Association / Bye-laws / Partnership Deed, certified true copy of the latest Audited Annual Accounts and Certificate of Registration should be submitted.
14. The agent shall use only such Offer Documents, Key Information Memorandum containing Application Forms and advertising material provided by AMC and the agent shall not design its own advertisement of the scheme(s) of Canara Robeco Mutual Fund unless it has obtained prior approval in writing from the AMC.
15. The agency shall stand automatically terminated without any notice from the AMC / Mutual Fund upon disqualification or withdrawal of Regulatory or Government Approval to act in the capacity contemplated herein. Upon any termination, the agent shall forthwith return all documents, papers and material pertaining to AMC / Mutual Fund and / or belonging to AMC / Mutual Fund to the AMC.
16. Appointment as an agent is subject to written confirmation by Canara Robeco Asset Management Company Ltd.
17. In respect of all disputes arising under this empanelment, the courts in Mumbai alone shall have jurisdiction.